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I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office.

Signed

Stuart T. Auvinen, Reg. No. 36,435

Date Signed and transmitted

9-27-02

PATENT

NH-2

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Official

In re application of)

Condamoor et al.)

Serial No. 09/578,174)

Filed: 5/24/00)

For: Net-Value Creation and Allocation in
an Electronic Trading System)

Examiner: Bui, Thach H

Group Art Unit: 3628

FAX RECEIVED

SEP 27 2002

GROUP 3600

AMENDMENT UNDER 37 C.F.R. § 1.111

- 10 Hon. Commissioner of Patents and Trademarks
Washington, DC 20231

Sir:

- 15 In response to the office action mailed 8/15/02, please amend the above-identified
application as follows:

In the specification:

- 20 In the specification on page 3, please replace the first paragraph in the "Related
Application" section with:

01 A provisional patent application entitled "System and Method for Value Creation in an
Electronic Trading System" was filed on 4/17/00 by the same inventors for the present
25 application, U.S. Provisional Appl. No. 60/198,125.